

# MARKET CODE / OPERATIONAL CODE CHANGE PROPOSAL

Form  
version 3.0

Change Proposal reference  
(To be completed by the TP Sec.)

**MCCP288**

Version No.

**D.2**

## PART A — SUBMISSION

### A.1. GENERAL DETAILS

A.1.a. TITLE March 2023 CSD Drafting

A.1.b. COMPANY CMA

Change Proposals must be authorised by the person designated by the signatory to the Market Code Framework / Accession Agreement

A.1.c. AUTHORISED SIGNATURE NAME Damian Sharp

A.1.d. CONTACT NAME CONTACT EMAIL;  
TEL/MOB. [Damian.Sharp@cmascotland.co.uk](mailto:Damian.Sharp@cmascotland.co.uk)

A.1.e. ASSOCIATED MCCP / OCCP MCCP289 Volume Conversion Factors

A.1.f. ASSOCIATED DOCS.  
Updated drafting for:  

- Market Code (v50)
- CSD0001
- CSD0104 Part 1
- CSD0201
- CSD0301
- CSD0302

NOTE: CSD0301 Annex is also to be updated, but is not attached (and will be provided when the release is deployed)  
NOTE: Changes to CSD0207 were covered in MCCP289 Volume Conversion Factors

A.1.g. PROPOSED URGENCY NON-URGENT

A.1.h. REASONS FOR URGENCY

The CMA CEO will review this information and make a decision as to whether to take this MCCP / OCCP forward as urgent as defined under Market Code Part 8.9.1

## A.2. MCCP / OCCP DETAILS

A.2.a. ISSUE OR DEFECT WHICH THIS MCCP / OCCP SEEKS TO ADDRESS  
Required under Market Code Parts 8.7.1 (ii) (b) and 8.8.1 (ii) (b)

The purpose of this MCCP is to capture final refinements to the Market Code and Code Subsidiary Documents (CSDs), required as a consequence of the development of the March 2023 Release, and to consolidate the required Market Code and CSD changes which have already been described in the Approved Changes that form the scope of that Release. The MCCP also picks up inconsistencies and or gaps identified from previously Approved Changes.

A.2.b. DESCRIPTION OF THE NATURE AND PURPOSE OF THE MCCP / OCCP AND HOW IT MEETS THE MARKET CODE / OPERATIONAL CODE OBJECTIVES AND PRINCIPLES FOR THE MARKET DOCUMENTS  
Required under Market Code Parts 8.7.1 (ii) (c) and 8.8.1 (ii) (c)

### General Description

The following are the additional changes:

**Market Code. Schedule 1.** The definition of “Rateable Value” needs to be retained to reflect the retention of RV within some market reports.

**CSD 0001 Market Training and Assurance.** Inclusion of references to ISO27001 Certification as an acceptable alternative to Cyber Essentials Certification.

**CSD 0201 Settlement Timetable and Reporting.** References to Rateable Value in Appendix 3.2 need to be retained as the format of settlement reports needs to continue to allow for re-runs of periods before April 2020 where RV may be relevant.

**CSD 0201 Settlement Timetable and Reporting.** Additional references to the P2 settlement run included in Section 2 and Appendix 3 to ensure consistency throughout CSD0201 and wording clarified in Section 2.4.1.

#### **CSD 0301 Data Transaction Catalogue.**

- (1) Change first reference to “Medium Volume Interface” to “Medium Volume Interface (MVI)” to cover later references to the MVI.
- (2) Retain the D2011 Rateable Value data item as it will still appear in some reports.
- (3) D2044 RV Transition Flag is removed from, and D2042 Live Rateable Value becomes a required item in, T012.7 and T012.8 transactions.
- (4) Remove the D4006 Effective Date from the T013.1 transaction as a consequence of removing it from the T013.0 transaction

Principles and Objectives affected CMA Guidance Note GN009 may be referred to for assistance with this section		
PRINCIPLE	AFFECTED (Y/N)	DESCRIPTION
Proportionality	Y	Consolidates MC/CSD drafting, with no additional costs.
Transparency	Y	Ensures that MC/CSDs reflect market arrangements.
Simplicity, Cost-effectiveness, and Security	N	
Non-exclusivity	N	
Barriers to Entry	N	
Customer Contact	N	
Non-discrimination	N	
Non-detrimental to SW Core Functions	N	
MC / OC OBJECTIVES	N	

A.2.c. IMPACT Required under Market Code Parts 8.7.1 (ii) (d), (f) and (g), and 8.8.1 (ii) (d) and (f)		
CONFIGURED ITEM	IMPACTED (Y/N)	DESCRIPTION
MC / OC	Y	See drafting
CSDs	Y	See drafting
Wholesale Services Agreements	N	
Licences	N	
CMA Central Systems	N	
CMA business processes	N	
Trading Party systems	N	
Trading party business processes	N	

**A.2.d. DRAFT LEGAL TEXT**  
Required under Market Code Parts 8.7.1 (ii) (d) and 8.8.1 (ii) (d)

See attached drafting.

If changes are identified for CSD0301 Data Transaction Catalogue Annex, these will not be provided in this MCCP, but will be provided following the deployment of the associated system updates. This is because the majority of CSD0301 Annex is system generated automatically and can only be updated after associated changes have been incorporated into the relevant system.

**A.3. IMPLEMENTATION DETAILS**

**A.3.a. PROPOSED IMPLEMENTATION DATE OR LEAD TIME**  
Timescale must not overlap with the period of consultation with the Commission and should take account of the impacts identified in Section A.2.c. Any quoted lead time should commence from date of Approval.

March 2023

**A.3.b. ANY LIMITATIONS OR DEPENDENCIES FOR IMPLEMENTATION**

**A.4. ANY OTHER COMMENTS**

## PART B — TP ASSESSMENT

### B.1. ASSESSMENT PROCESS

B.1.a.	ASSESSMENT START DATE	N/A	ASSESSMENT END DATE	N/A
B.1.b.	IMPACT ASSESSMENT REQUIREMENT		IA NOT REQUIRED	
B.1.c.	CONSULTATION REQUIREMENT		TP CONSULTATION NOT REQUIRED	
B.1.d.	ASSOCIATED DOCUMENTS (TO THIS PART B)			

### B.2. ASSESSMENT DETAILS

B.2.a. CHANGE SPEC AND IMPACT (IF DIFFERENT FROM THAT ORIGINALLY SUBMITTED)

B.2.b. CMA INTERNAL SYSTEMS IMPACT

B.2.c. DRAFT LEGAL TEXT (IF DIFFERENT FROM THAT ORIGINALLY SUBMITTED)

B.2.d. CUSTOMER IMPACT (TO BE COMPLETED BY LPS)

No direct impact as this change is a document consolidation CP, however any customer impacts for associated changes being implemented noted as follows:

MCCP264 – no customer impact  
MCCP279 – no customer impact  
MCCP280 – no customer impact  
MCCP281 – no customer impact  
MCCP284 - This proposal will introduce the necessary changes to the market to allow Scottish Water to implement its new Financial Resilience framework. Ultimately, this framework benefits customers by ensuring that their Licensed Providers continue to have the necessary financial resources available to remain sustainable and resilient in the long term.  
MCCP285 - This proposal seeks to include a customer’s vacancy status in the transactions that are sent to the incoming LP as part of any SPID transfer. This will improve the customer’s data records and will not rely on manual updates being undertaken.  
MCCP286 - The additional certification will provide a level of confidence to customers that their data continues to be managed properly, reducing the risk of potential cyber-attacks.

B.2.e. TP ASSESSMENT

Taking into account complexity, importance and urgency, and having regard to whether or not such proposal is within the relevant Objectives and Principles as required under Market Code Parts 8.7.1 (v) and 8.8.1 (iv)

Impact on Principles and Objectives  
(if different from that originally submitted)

Cost Estimate	None
Benefit Estimate (L: < 10k, M: £10k to £100k, H: > £100k)	N/A
<b>B.3. TP DECISION</b>	TP APPROVED
<b>B.4. FINAL TP VIEWS</b>	Unanimously approved by the TP 2023-02-16
<b>B.5. PLANNED IMPLEMENTATION DATE</b>	March 2023

WITHDRAWN BY PROPOSER?	No
COMMENTS	
DATE OF WITHDRAWAL	

### **PART C — COMMISSION APPROVAL**

<b>C.1. DATE FINAL REPORT ISSUED TO COMMISSION</b>	2023-03-01
<b>C.2. APPROVAL STATUS</b>	APPROVED CHANGE
<b>C.3. DATE OF APPROVAL STATUS</b>	2023-03-17
<b>C.4. COMMISSION RESPONSE REFERENCE</b>	

### **PART D — IMPLEMENTATION**

<b>D.1. IMPLEMENTATION DATE</b>	2023-03-23
<b>D.2. IMPLEMENTATION DETAILS</b> (MC version, CSD versions, CMA Central Systems release number, etc.)	
<ul style="list-style-type: none"> <li>• Market Code v50</li> <li>• CSD0001v7</li> <li>• CSD0104 Part 1 v22</li> <li>• CSD0201v14</li> <li>• CSD0301v28</li> <li>• CSD0302 v18</li> </ul>	