

MARKET CODE / OPERATIONAL CODE CHANGE PROPOSAL

Form
version 2.3

Change Proposal reference
(To be completed by the TP Sec.)

MCCP246

Version No.

D.1

SUBMISSION

GENERAL DETAILS

TITLE

March 2020 CSD Drafting

COMPANY

CMA

Change Proposals must be authorised by the person designated by the signatory to the Market Code Framework / Accession Agreement

AUTHORISED SIGNATURE

NAME

Neil Cohen

CONTACT NAME

Neil Cohen

CONTACT EMAIL;
TEL/MOB.

Neil.cohen@cmascotland.co.uk
0117 942 3272

ASSOCIATED MCCP /
OCCP

ASSOCIATED DOCS.

Mark Ups of; Market Code, CSD0002, CSD0101, CSD0102, CSD0104 (P1), CSD0105, CSD0201, CSD0202, CSD0203, CSD0205, CSD0206, CSD0207, and CSD0301.

PROPOSED URGENCY

NON-URGENT

REASONS FOR URGENCY

The CMA CEO will review this information and make a decision as to whether to take this MCCP / OCCP forward as urgent as defined under Market Code Part 8.9.1

MCCP / OCCP DETAILS		
ISSUE OR DEFECT WHICH THIS MCCP / OCCP SEEKS TO ADDRESS Required under Market Code Parts 8.7.1 (ii) (b) and 8.8.1 (ii) (b)		
The purpose of this MCCP is to capture final refinements to the Market Code and Code Subsidiary Documents (CSDs), required as a consequence of the development of the March 2020 Release and to consolidate the required Market Code and CSD changes which have already been described in the Approved Changes that form the scope of that Release. The MCCP also picks up inconsistencies and or gaps identified from previously Approved Changes.		
DESCRIPTION OF THE NATURE AND PURPOSE OF THE MCCP / OCCP AND HOW IT MEETS THE MARKET CODE / OPERATIONAL CODE OBJECTIVES AND PRINCIPLES FOR THE MARKET DOCUMENTS Required under Market Code Parts 8.7.1 (ii) (c) and 8.8.1 (ii) (c)		
General Description		
The Market Code and CSD changes proposed in this MCCP are required as a natural consequence of developing the Approved Changes for the March 2020 release. In particular, not implementing this change would leave gaps in the Market Code and/or CSDs. However, it should be noted that the changes described in this MCCP are to ensure that the intent of the Approved Changes that are pertinent to the March 2020 release are fully reflected in the Market Code and CSDs.		
Principles and Objectives affected CMA Guidance Note GN009 may be referred to for assistance with this section		
PRINCIPLE	AFFECTED (Y/N)	DESCRIPTION
Proportionality	Y	Aids implementation of Approved Changes
Transparency	Y	Removes gaps in Market Code and/or CSD drafting for the Approved Changes pertinent to the March 2020 Release
Simplicity, Cost-effectiveness, and Security	N	
Non-exclusivity	N	
Barriers to Entry	N	
Customer Contact	N	
Non-discrimination	N	
Non-detrimental to SW Core Functions	N	
MC / OC OBJECTIVES	N	

IMPACT Required under Market Code Parts 8.7.1 (ii) (d), (f) and (g), and 8.8.1 (ii) (d) and (f)		
CONFIGURED ITEM	IMPACTED (Y/N)	DESCRIPTION
MC / OC	N	
CSDs	Y	This is the primary purpose of this MCCP. See draft legal text Section.
Wholesale Services Agreements	N	
Licenses	N	
CMA Central Systems	N	
CMA business processes	N	
Trading Party systems	N	
Trading party business processes	N	

DRAFT LEGAL TEXT Required under Market Code Parts 8.7.1 (ii) (d) and 8.8.1 (ii) (d)
<p>The purpose of this MCCP is to consolidate those changes being made to the Market Code and Code Subsidiary Documents arising from the October 2019 Release. In addition, the proposal includes minor refinements which have not already been captured in those MCCPs.</p> <p>The additional changes are (red text):</p> <ul style="list-style-type: none"> • Market Code: <ul style="list-style-type: none"> ○ Amend all references to Section 10.7 (and sub-Sections thereof) to Section 10.8 (and equivalent sub-Sections), since the original Section 10.7 has now become Section 10.8. ○ Schedule 1 (Definitions). A reference to CSD0204 should be removed, since this CSD is no longer used, as follows (red text): <p>"Derived Daily Volume" or "DDV": the Daily Volume derived as being supplied in relation to a meter or Supply Point (as the context may require), as calculated by the CMA. in accordance with Section 2.3 of CSD 0204 (Volume Processing & Estimation)</p> ○ Section 5.4.5. A further change has been identified, as a result of the changes approved in MCCP239 (Change of SS SPID Connection Date Ownership): <p>Trading Parties Scottish Water shall notify the CMA of the Connection Date for each New Connection within five (5) Business Days after the Connection Date where Scottish Water has undertaken the activity or eight (8) Business Days after the Connection Date where an Accredited Entity has undertaken the activity in</p>

accordance with CSD 0101 (Registration: New Connections & New Supply Points) and the CMA shall confirm the Connection Date to the Licensed Provider.

- **CSD0002 – Performance Standards:** After the changes identified in MCCP239 (Change in SS SPID Connection Date Ownership) were approved, a further amendment to CSD0002 (Table 1) should be made:

R5A	Connection Notifications for Gap Site or Connection Change of Use	SW	<p>Receipt by the CMA from SW of a T007.1 (Notify Connection Complete) within 15 BDs of the CMA sending a T002.1 (Notify New SPID) to SW,</p> <p>This applies only to T007.1 transactions Supply Points with “Gap Site” or “Connection Change of Use” connection type, but not the “New” connection type”. This measure is only applicable to Sewerage SPIDs for which there is not a related Water SPID.</p>	Level 3	CMA	
-----	---	----	---	---------	-----	--

- **CSD0002 – Timing of Changes:** MCCP239 (Change of SS SPID Connection Date Ownership) identified the need for two changes to the Performance Measures; the R4A/R4B measure (New Connection Notifications) would be retired, since the transfer of ownership for SS SPID Connection Dates to SW will result in there being information provision from an LP to SW outwith the Market Code and the R5 measure (Connection Notifications for Gaps Site and Change of Use Supply Points) would transfer to SW.

However, it has now been recognised that the implementation of these measures needs to be timed around the March implementation, in order to be consistent with the data ownership change itself, noting that Performance Measures are run in arrears:

- R4A/R4B. These measures cannot be applied to March, since the transfer of ownership for SS SPIDs will take place at the implementation date, prior to the end of the month. Furthermore, the April run of the performance measures (covering March) will no longer recognise LP ownership of the relevant transaction. Hence, the last R4 performance measures can only be applied to February 2020.
- R5. Although the R5 measures will apply to Scottish Water from late March onwards, the April performance measures run could only cover the entire month of March, during which the transfer of connection date ownership would take place. Furthermore, the transfer of ownership would, in some cases, occur with insufficient time for SW to make the necessary submissions within the performance measure timescales. It is therefore considered that this measure should not be run in April (for March 2020), but should be run in May 2020 onwards (covering April 2020, onwards).

- **CSD0101 – Registration New Connections and New Supply Points:**

- Section 1 (Purpose and Scope). An additional change arising from MCCP239 (Change of SS SPID Connection Date Ownership) is required:

Sections 2 and 3 describe the initial phases of the connections processes for the Registration of; New Connections and New Supply Points (i.e. Entry Change of Use or Gap Sites respectively), while Section 4 describes the later common phases of the connections processes. ~~For the avoidance of doubt, a Licensed Provider wishing to Register a Supply Point pursuant to~~

~~an Entry Change of Use or in relation to a Gap Site should follow the process in Section 3.~~ For ease of reference, the treatment of an Exit Change of Use is set out in CSD0104 (Maintain SPID Data).

- Section 4.1 (Description of Process Steps - Step I: Submit Connection Data). An additional change arising from MCCP239 (Change of SS SPID Connection Date Ownership) is required:

Scottish Water is always responsible for providing the Connection Date at a Supply Point. Where a Sewerage Services Supply Point has an associated Water Point that has not yet been made Tradable, the Connection Date notified by Scottish Water at the associated Water Services Supply Point will also be used for the Sewerage Services Supply Point.

~~In the event that only Sewerage Services are provided at the Supply Point or the associated Water Services Supply Point has already been made Tradable, then Scottish Water for the Sewerage Services Supply Point must confirm the Connection Date for those Services to the CMA.~~

- Section 4.1. A change was omitted, following the approval of MCCP111 (Change in Notifications to the CMA by Scottish Water) and is required to make the CSD consistent with the Market Code:

...will notify the CMA of the Connection Date within **25** Business Days after that connection is made using Data Transaction T007.1 (Submit Connection Complete (SS)).

- Amend references to the T15.0 transaction previously omitted, which was renamed as a result of MCCP227 – CC (SPID Temporary Transfers):

T015.0 (~~Declare Disconnection/Reconnection/Deregistration~~Update SPID Status)

- **CSD0102 – Registration Transfers:**

- Section 2.1.2. Remove the reference to CSD0204, which is no longer in use:

The CMA will calculate the end and start points for the allocation of Wholesale Charges in accordance with ~~CSD 0204 (Volume Processing & Estimation)~~ or CSD 0205 (Charge Calculation, Allocation & Aggregation) **as appropriate**.

- Section 2.3. Remove the reference to CSD0204, which is no longer in use:

Calculate Settlement End & Start points. See CSD ~~0204 (Volume processing & Estimation)~~ 0205 (Charge Calculation, Allocation & Aggregation)

- **CSD0104 Part 1 – Maintain SPID Data:**

- Section 4.2.a Remove the reference to CSD0204, which is no longer in use:

The CMA will calculate the end and start points for the allocation of Wholesale Charges in accordance with ~~CSD 0204 (Volume Processing & Estimation)~~ or CSD 0205 (Charge Calculation, Allocation & Aggregation) **as appropriate**.

- Section 3. The T015.0 txn reference should be re-named, as required by MCCP227 – CC (SPID Temporary Transfers):

T015.0 (~~Declare Disconnection/Reconnection/Deregistration~~Update SPID Status)

- **CSD0105 Error Rectification and Retrospective amendments:**

- Section 2, the T015.0 txn reference should be re-named, as required by MCCP227 – CC (SPID Temporary Transfers):

T015.0 (~~Declare Disconnection/Reconnection/Deregistration~~Update SPID Status)

- **CSD0202 – Meter Read Submission Process** (Section 3.1 (a)). One change was omitted, following the approval of MCCP111 (Change in Notifications to the CMA by Scottish Water), in 2013:

The timescale for the submission of Meter Reads is,

- in the case of Regular Cyclic Reads, Customer Reads and AMR Reads within **25** Business Days from the date the Meter Read is taken;
- in the case of all other Meter Read Types, within 5 Business Days, for activities undertaken by Scottish Water and 8 Business Days for activities undertaken by an Accredited Entity, from the point of collection.

- **CSD0203 – Meter Read Submission Validation** (Section 2). Added T017.0 as a valid Meter read type in sections 2.1.5 and 2.1.6

- **CSD0206 – Trade Effluent Processes:**

- Section 1 (Summary of Trade Effluent relevant SPID Data). The D4006 definition should be amended to remove the word 'Date' to be consistent with the data definitions in CSD0301: D4006 Effective ~~f~~From **Date**.

- Sections 2.3 and 4.2. References to “de-association” should be changed to “dissociation.”
- Section 2.2. The T015.0 txn name should be corrected, as required by MCCP227 – CC (SPID Temporary Transfers): T015.0 (**Declare Disconnection/Reconnection/Deregistration Update SPID Status**).
- Appendix 3 (Process Diagram Symbols) should be added to bring this CSD into line with all other CSDs.

- **CSD0207 – RF Charge Calculation, Allocation and Aggregation**

- Appendix A.1 Section A.1.4. This assumption no longer applies, with the changed application of TDISC, and should be removed (and be replaced with ‘No Longer Used’, to retain existing numbering).

- **CSD0301 – Data Catalogue:**

- The name for the D4006, within the T012.7, T012.8, T036.0 and T036.1 txns, should be modified, such that the word ‘Date’ should be removed, to be consistent with the data definitions.
- The T012.9 transaction should be modified such that the D4003 field is set as Optional rather than Required.
- The T015.2 transaction name should be modified to be ‘Submit Back-dated SPID Status’
- The T015.3 transaction name should be modified to be ‘Notification of a Back-dated SPID Status Update’
- The T017.0 transaction name should be ‘Submit Meter Swap’ in section 4.3. This had been incorrectly named as ‘Notify Meter Swap’.
- The T020.0 transaction should include the D2048 field as a required element.
- The T005.1 (Meter Read) should be modified to recognise that the D2001 (SPID) is a required item, as has necessarily always been the case, since LPs would only ever be submitting reads for meters associated to a SPID. Additionally, the description for this transaction includes text pertaining to the circumstances where no SPID is needed, which is not applicable to the T005.1 and should be removed:

Transaction Number	T005.1	
Transaction Name	Meter Read	
From	LP	
To	CMA	
DI #	Name	FLAG
D2001	SPID	OPRQ
D3001	Meter ID	RQ
D3008	Meter Read	RQ
D3009	Meter Read Date	RQ
D3010	Meter Read Type	RQ
D3028	S Read Reason Code	OP
D3029	S Read Remedial Work Indicator	OP
D3012	Re-Read	OP
D3020	Rollover Indicator	OP

<p>Description</p>	<p>A meter read submission from a LP</p> <p>D2001 SPID in this transaction is not required where the meter is a Sub-meter in a meter network and is not directly associated to a SPID. Where a meter is a main meter in a meter network it must be associated to a SPID for Settlement purposes and in such case the SPID is required in this transaction.</p> <p>If the D3010 is S, the D3028 and D3029 must be provided. If the D3028 is PLR, the D3029 must be False, otherwise the D3029 must be True.</p> <p>Where the D3001 pertains to Private Effluent or Tankered Effluent Meter Type, the D3001 SPID should be the Sewerage SPID.</p>	
---------------------------	--	--

- **CSD0302 – Standing Reports and Data Extracts:**

- Section 2.5 / X31 and X32 reports: The D2025 Data Item should be re-named from 'NotifyDisconnection Reconnection' to 'SPIDStatus', as required by MCCP227 – CC (SPID Temporary Transfers).
- Section 2.5 / X31 report: The D2029 should be renamed from 'MeteredBldgWaterflag' to 'MeteredBldgWater', to be consistent with data definitions in CSD0301.
- Section 2.5 / X37 report: The D4006 should be changed from 'EFD' to 'EffectiveFrom', to be consistent with data definitions in CSD0301.

IMPLEMENTATION DETAILS

PROPOSED IMPLEMENTATION DATE OR LEAD TIME

Timescale must not overlap with the period of consultation with the Commission and should take account of the impacts identified in Section 0. Any quoted lead time should commence from date of Approval.

March 2020

ANY LIMITATIONS OR DEPENDENCIES FOR IMPLEMENTATION

ANY OTHER COMMENTS

TP ASSESSMENT

ASSESSMENT PROCESS			
ASSESSMENT START DATE	2020-02-20	ASSESSMENT END DATE	2020-02-20
IMPACT ASSESSMENT REQUIREMENT		IA NOT REQUIRED	
CONSULTATION REQUIREMENT		TP CONSULTATION NOT REQUIRED	
ASSOCIATED DOCUMENTS (to this Part B)			
ASSESSMENT DETAILS			
CHANGE SPEC AND IMPACT (IF DIFFERENT FROM THAT ORIGINALLY SUBMITTED)			
CMA INTERNAL SYSTEMS IMPACT			
DRAFT LEGAL TEXT (if different from that originally submitted)			
TP ASSESSMENT Taking into account complexity, importance and urgency, and having regard to whether or not such proposal is within the relevant Objectives and Principles as required under Market Code Parts 8.7.1 (v) and 8.8.1 (iv)			
Impact on Principles and Objectives (if different from that originally submitted)			
Cost Estimate		No cost	
Benefit Estimate (L: < 10k, M: £10k to £100k, H: > £100k)		Minor error corrections	
TP DECISION		TP APPROVED	
FINAL TP VIEWS		TP Unanimously approved on 20 th February 2020	
PLANNED IMPLEMENTATION DATE		March 2020	

WITHDRAWN BY PROPOSER?	No
COMMENTS	
DATE OF WITHDRAWAL	

COMMISSION APPROVAL

DATE FINAL REPORT ISSUED TO COMMISSION	2020-03-04
APPROVAL STATUS	APPROVED CHANGE
DATE OF APPROVAL STATUS	2020-03-18
COMMISSION RESPONSE REFERENCE	CMA CP180320

IMPLEMENTATION

IMPLEMENTATION DATE	2020-03-26
IMPLEMENTATION DETAILS (MC version, CSD versions, CMA Central Systems release number, etc.)	
Market Code v43	CSD0202 v8
CSD0002 v13	CSD0203 v4
CSD0101 v14	CSD0205 v9
CSD0102 v9	CSD0207 v14
CSD0104 v15	CSD0301 v22
CSD0105 v8	CSD0302 v14
CSD0201 v10	