MARKET CODE / OPERATIONAL CODE CHANGE PROPOSAL			Form version 2.2	
Change Proposal reference (To be completed by the TP Sec.)	**MCCP146**		Version No.	**D.1**

PART A — SUBMISSION				
A.1. GENERAL DETAILS				
A.1.a. TITLE	Minor Changes to CSD0105			
A.1.b. COMPANY	CMA			
Change Proposals must be authorised by the person designated by the signatory to the Market Code Framework / Accession Agreement				
A.1.c. AUTHORISED SIGNATURE			NAME	Neil Cohen
A.1.d. CONTACT NAME	Neil Cohen	CONTACT EMAIL; TEL/MOB.	Neil.Cohen@cmascotland.co.uk 0117 942 3272	
A.1.e. ASSOCIATED MCCP / OCCP				
A.1.f. ASSOCIATED DOCS.	Annex 1 CSD0105 Mark Up			
A.1.g. PROPOSED URGENCY	NON-URGENT			
A.1.h. REASONS FOR URGENCY				
The CMA CEO will review this information and make a decision as to whether to take this MCCP / OCCP forward as urgent as defined under Market Code Part 8.9.1				

A.2.	MCCP / OCCP DETAILS

A.2.a. ISSUE OR DEFECT WHICH THIS MCCP / OCCP SEEKS TO ADDRESS
Required under Market Code Parts 8.7.1 (ii) (b) and 8.8.1 (ii) (b)

For some time the CMA has maintained a schedule of Additional Services, in accordance with Section 7.3.5 of the Market Code.

Amongst the Additional Services offered by the CMA are both scripted and manual Retrospective Amendments (RAs), as allowed for in Section 5.5.2 (ii) of the Market Code. These services are described in the schedule and include the data formats that are required in order to facilitate the delivery of such RAs.

CSD0105 currently requires that a request for an RA must be submitted via an RA request form. However, this has been found to be impractical and serves no useful purpose. Typically, a data submission in the required format has been taken to be an RA request and this format is equally applicable for RAs which already exist as Additional Services and for any further RAs that a Trading Party may require.

In the latter case, the full assessment process described in CSD0105 would of course need to be followed. However, where the RA already exists as an Additional Service, this process will already have been undertaken and an Additional Service Charge established, based on the practicalities and impact of the RA, although CSD0105 does not currently take account of this.

The absence of an update to the CSD has been identified by the Market Auditor as an issue to be resolved.

A.2.b. DESCRIPTION OF THE NATURE AND PURPOSE OF THE MCCP / OCCP AND HOW IT MEETS THE
MARKET CODE / OPERATIONAL CODE OBJECTIVES AND PRINCIPLES FOR THE MARKET DOCUMENTS
Required under Market Code Parts 8.7.1 (ii) (c) and 8.8.1 (ii) (c)

General Description

This proposal seeks to modify CSD0105 in two ways, so as to better reflect the current practice and to resolve the Market Audit observation:

- The RA submission pro-forma should be removed, since it is not used under any circumstances and RA submissions are more manageably handled via submissions of the data to be changed, in the format identified in the schedule of Additional Services and
- The RA assessment process need only be applied for new RAs not already identified in the schedule of Additional Services.

Principles and Objectives affected
CMA Guidance Note GN009 may be referred to for assistance with this section

PRINCIPLE	AFFECTED (Y/N)	DESCRIPTION
Proportionality	Y	This is a drafting change to reflect current practice and no material cost is incurred. The proposal also seeks to deliver resolution of a Market Audit observation.
Transparency	Y	Brings CSD drafting into line with current

		practice
Simplicity, Cost-effectiveness, and Security	Y	Removes ambiguities with the management of RAs
Non-exclusivity	N	
Barriers to Entry	N	
Customer Contact	N	
Non-discrimination	N	
Non-detrimental to SW Core Functions	N	
MC / OC OBJECTIVES		

A.2.c. IMPACT Required under Market Code Parts 8.7.1 (ii) (d), (f) and (g), and 8.8.1 (ii) (d) and (f)		
CONFIGURED ITEM	IMPACTED (Y/N)	DESCRIPTION
MC / OC	N	
CSDs	Y	See Annex 1
Wholesale Services Agreements	N	
Licenses	N	
CMA Central Systems	N	
CMA business processes	N	
Trading Party systems	N	
Trading party business processes	N	

A.2.d. DRAFT LEGAL TEXT Required under Market Code Parts 8.7.1 (ii) (d) and 8.8.1 (ii) (d)
See Annex 1
A.3. IMPLEMENTATION DETAILS

A.3.a.	PROPOSED IMPLEMENTATION DATE OR LEAD TIME
	Timescale must not overlap with the period of consultation with the Commission and should take account of the impacts identified in Section A.2.c. Any quoted lead time should commence from date of Approval.

Next available issue of CSD0105

A.3.b.	ANY LIMITATIONS OR DEPENDENCIES FOR IMPLEMENTATION

A.4.	ANY OTHER COMMENTS

PART B — TP Assessment

B.1.	Assessment process			
B.1.a.	Assessment start date	2014-03-04	Assessment end date	yyyy-mm-dd
B.1.b.	Impact Assessment requirement		IA not required [Suggested]	
B.1.c.	Consultation requirement		TP consultation not required	
B.1.d.	Associated documents (to this Part B)			

B.2.	Assessment details
B.2.a.	Change specification and impact (if different from that originally submitted)
B.2.b.	Draft legal text (if different from that originally submitted)
B.2.c.	TP assessment Taking into account complexity, importance and urgency, and having regard to whether or not such proposal is within the relevant Objectives and Principles as required under Market Code Parts 8.7.1 (v) and 8.8.1 (iv)

Impact on Principles and Objectives (if different from that originally submitted)	
Cost Estimate	No material costs.
Benefit Estimate (L: < 10k, M: £10k to £100k, H: > £100k)	Removes enquiries from Trading Parties. Assume 2 man-days/yr. Cost Model assumptions suggest; £7.5k - L
B.3. TP Decision	TP Approved
B.4. Final TP views	
B.5. Planned implementation date	May 2014

Withdrawn by proposer?	No
Comments	
Date of withdrawal	

PART C — COMMISSION APPROVAL	
C.1. DATE FINAL REPORT ISSUED TO COMMISSION	2014-04-22
C.2. APPROVAL STATUS	APPROVED CHANGE
C.3. DATE OF APPROVAL STATUS	2014-05-09
C.4. COMMISSION RESPONSE REFERENCE	

PART D — IMPLEMENTATION	
D.1. IMPLEMENTATION DATE	2014-05-19
D.2. IMPLEMENTATION DETAILS (MC version, CSD versions, CMA Central Systems release number, etc.)	